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| UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> | Attorney Docket No. | 200357US2 |
| | First Inventor or Application Identifier | Timo J. NARUMO |
| | Title | COMPUTERIZED METHOD, PROCESS AND SERVICE FOR STOCK INVESTMENT TIMING |

| | |
|---|---|
| APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents</small> | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 |
| 1. <input checked="" type="checkbox"/> Transmittal Form (e.g. PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small> 2. <input checked="" type="checkbox"/> Specification Total Sheets 19 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets 6 4. <input type="checkbox"/> Oath or Declaration Total Pages <input type="text"/> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation / divisional w/ box 16 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b). 5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification or Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statements verifying identity of above copies | ACCOMPANYING APPLICATION PARTS 7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 8. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 9. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee) 10. <input type="checkbox"/> English Translation Document (if applicable) 11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 12. <input type="checkbox"/> Preliminary Amendment 13. <input checked="" type="checkbox"/> White Advance Serial No. Postcard 14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 15. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 16. <input checked="" type="checkbox"/> Other: List of Inventor's Name and Address |

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.: _____

Prior application information: Examiner: _____ Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.


17. Amend the specification by inserting before the first line the sentence:

☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)
 of application Serial No. _____ Filed on _____

☐ This application claims priority of provisional application Serial No. _____ Filed _____

18. CORRESPONDENCE ADDRESS

| | | |
|--|--|--|
| 01 F:101 02 F:105 03 F:104 04 F:103 | 210.00 OP 139.00 OP 270.00 OP 144.00 OP |  22850 (703) 413-3000 FACSIMILE: (703) 413-2220 |
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|------------|---|-------------------|----------|
| Name: | Marvin J. Spivak | Registration No.: | 24,913 |
| Signature: |  | Date: | 11/29/00 |
| Name: | C. Irvin McClelland | Registration No.: | |

Registration Number 21,124

Docket No. 200357US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Timo J. NARUMO

SERIAL NO: New Application

FILING DATE: Herewith

FOR: COMPUTERIZED METHOD, PROCESS AND SERVICE FOR STOCK INVESTMENT TIMING

JC945 U.S. PTO
09/725112
11/29/00

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

| FOR | NUMBER FILED | NUMBER EXTRA | RATE | CALCULATIONS |
|---|-----------------|-----------------|-----------|--------------|
| TOTAL CLAIMS | 28 - 20 = | 8 | × \$18 = | \$144.00 |
| INDEPENDENT CLAIMS | 1 - 3 = | 0 | × \$80 = | \$0.00 |
| ■ MULTIPLE DEPENDENT CLAIMS (If applicable) | | | + \$270 = | \$270.00 |
| ■ LATE FILING OF DECLARATION | | | + \$130 = | \$130.00 |
| BASIC FEE | | | | \$710.00 |
| TOTAL OF ABOVE CALCULATIONS | | | | \$1,254.00 |
| □ REDUCTION BY 50% FOR FILING BY SMALL ENTITY | | | | \$0.00 |
| □ FILING IN NON-ENGLISH LANGUAGE | | | + \$130 = | \$0.00 |
| □ RECORDATION OF ASSIGNMENT | | | + \$40 = | \$0.00 |
| TOTAL | | | | \$1,254.00 |

□ Please charge Deposit Account No. 15-0030 in the amount of

A duplicate copy of this sheet is enclosed.

■ A check in the amount of \$1,254.00 to cover the filing fee is enclosed.

■ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030.
A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Date: 11/29/00



22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 10/00)

Marvin J. Spivak
Marvin J. Spivak
Registration No. 24,913

C. Irvin McClelland
Registration Number 21,124